# R-step Relative Predictability of Decentralized Failure Prognosis in Discrete-Event Systems

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Abstract: Recently, failure prediction of discrete-event systems (DESs) has received increasing attention. In this paper, the problem of relative predictability of decentralized failure prognosis in DESs is investigated. The notion of r-step relative copredictability is formalized under the decentralized framework to capture the feature that the occurrence of at least a failure event can be predicted prior to r steps at most based on at least one local observation. It is deducted that the relative copredictability is weaker than copredictability and relative predictability. In order to achieve the prediction performance of a decentralized system, the necessary and sufficient condition for verifying the relative copredictability is presented. And a polynomial-complexity algorithm is developed to test the relative copredictability and compute the boundary number of steps prior to the occurrences of failure events. Furthermore, some examples are provided to illustrate the presented results. It is worth noting that the reported work generalizes the main results of copredictability from the centralized systems to the decentralized setting and extends the results of copredictability introduced by Fuchun Liu to general cases.

Keywords: predictability, discrete event systems, decentralized failure prognosis.

# 1. INTRODUCTION

This paper investigates the relative predictability of decentralized failure prognosis of DESs. The diagnosis of DESs which aims to timely detect the failures that cannot be observed directly according to the local behaviors of the system has been widely investigated (Sampath et al., 1995; Liu, 2015; Yao and Feng, 2016; Deng and Qiu, 2017; Zhao et al., 2017; Keroglou and Hadjicostis, 2018; Masopust and Yin, 2019; Vianaand and Basilio, 2019) during the past two decades. The study of failure prediction (or prognosis) is inspired by the problem of failure diagnosis. Compared with failure diagnosis that focuses on identifying the failures after their occurrences, failure prediction is to accurately forecast failures prior to their occurrences based on the partial observation of the system. Recently, failure prediction of DESs has received increasing attention and many different approaches focused on the centralized framework (Genc and Lafortune, 2009; Takai, 2012; Chang et al., 2013; Chen and Kumar, 2014; Yokotani et al., 2016) have been proposed, where there is a single site for collecting all the information about the system and there is only a prognoser performing failure prediction. Genc and Lafortune initiated the study of predictability of DESs and provided its formal definition and verification method (Genc and Lafortune, 2009). Takai discussed the robustness of failure prediction and introduced a robust prognoser to predict the occurrences of failures for given a set of possible DES models. The notion of AASpredictability was introduced by Chang, Dong et al. and a necessary and sufficient condition for the property was presented (Chang et al., 2013). The framework introduced by Genc and Lafortune (Genc and Lafortune, 2009) was generalized to the case of stochastic models in (Chen and Kumar, 2014), and the notion of Sm-prognosability was

formulated to capture the ability of predicting the occurrences of failures prior to at least m steps in the setting of stochastic DESs. Yokotani et al. developed a theoretical framework for abstraction-based failure prognosis of partially observed DESs (Yokotani et al., 2016).

However, for many complex large-scale systems, the centralized failure prediction may not always be appropriate, and instead failure prediction needs to be performed by decentralized sites where prediction information is collected. In the decentralized setting, there is a family of local prognosers running at several sites processing local observation. Each local prognoser may only observe part of the dynamic behavior of the system and make local prognostic decision based on its own observation. In (Takai and Kumar, 2011, 2012; Yin and Li, 2016, 2019; Liu, 2019), decentralized failure prediction under different architectures was studied. The decentralized prognoser defined by a map to prognostic decision "1" or " $\phi$ " was employed to perform coprediction (Takai and Kumar, 2011), where "1" means a failure is inevitable and "\u03c6" means either a failure is not inevitable or its inevitability is not known. The notion of joint prognosability was introduced by Takai to describe the property that the occurrence of each failure can be predicted by at least one local prognoser (Takai and Kumar, 2012). The notion of k-reliable coprognosability was proposed (Yin and Li, 2016) as the necessary and sufficient condition for the existence of a decentralized prognoser under the presence of unreliable local prognostic decisions. Yin and Li proposed two novel decentralized protocols (Yin and Li, 2019) for the purpose of fault prognosis. In (Liu, 2019), the notion of copredictability of DESs was formalized to capture the feature that the occurrences of failure events can be predicted in advance based on at least one local observation and the

coverifier-based approach was developed to verify the predictability.

Inspired by the wide-spread adaptability of decentralized framework, the paper aims to deal with relative predictability of DESs in the setting of decentralized framework. The work is a continuation of the previous work related to relative predictability of DESs (Zhao et al., 2019). It also draws on the study of the work (Liu, 2019) and its main contributions are as follows: 1) formalizing the notion of r-step relative copredictability of DESs; 2) introducing some new concepts such as failure branch, predictive vector and step vector; 3) deriving the necessary and sufficient condition of the property; 4) designing a polynomial-time verification algorithm.

The results presented in the article are mainly related to the work of following references: Takai and Kumar, 2012, Yin and Li, 2019, Liu, 2019 and Zhao et al., 2019. However, the distributed prognosis discussed by Takai (Takai and Kumar, 2012), Yin (Yin and Li, 2019) and Liu (Liu, 2019) is a completely joint predictable property, which is clearly different from the relative copredictability introduced by this paper. The completely joint predictable property requires that the occurrence of each failure event can be predicted by at least one local site, whereas relative copredictability only requires that the occurrence of a failure event can be predicted by at least one local observation. It should be pointed out that the authors of this article (Zhao et al., 2019) have studied the problem of relative predictability of failure event occurrences, but we dealt with the issue under the centralized framework. This paper extends these results to distributed framework. Moreover, the notion of failure branch is redefined so that it can be applied to more general DESs; the concept of predictive vector is introduced to accurately describe the relative copredictability of a DES. Through the value of the predictive vector, which failure branches in the system are copredictable can be known clearly. In addition, this paper presents a polynomial-time algorithm for accurately computing the predictive vector and the boundary number of steps prior to the occurrences of failure events. It's worth noting that the algorithm is also used to verifying copredictability (Liu, 2019) and relative predictability (Zhao et al., 2019). Therefore, the research results of this paper have wide applicability.

The remainder of the paper is organized as follows. In section 2, the necessary background on DESs is presented. In section 3, the notions of r-step relative copredictability is introduced. In section 4, an algorithm is presented for verifying the property. Finally, in section 5, conclusions are drawn.

## 2. PRELIMINARIES

A DES is modeled as an automaton  $G = (Q, \Sigma, \delta, q_0)$ , where Q is the finite set of states,  $\Sigma$  is the set of events,  $\delta: Q \times \Sigma \rightarrow Q$  is the transition function and  $q_0 \in Q$  is the initial state.  $\Sigma^*$  is the set of all finite strings over  $\Sigma$ , including the empty string  $\epsilon$ . Given an event  $\sigma \in \Sigma$  and a string  $s \in \Sigma^*$ , if  $\sigma$  appears at least once in s, then denote it as  $\sigma \in s$ . The language of G denoted by L(G) or L is defined as

 $L = \{s \in \Sigma^* : \delta(q_0, s) \in Q\}$ . Given a trace  $s(s \in L)$ ,  $\overline{s}$  is the the prefix-closure of s,  $L/s = \{t \in \Sigma^* : st \in L\}$  denotes the post language of L after s and ||s|| represents the length of s. Under partial observation, the event set is partitioned into two disjoint subsets  $\Sigma = \Sigma_o \cup \Sigma_{uo}$ , where  $\Sigma_o$  and  $\Sigma_{uo}$  are respectively the observable and unobservable event sets. When a string of events occurs, the sequence of observable events is filtered by the usual projection  $P : \Sigma^* \to \Sigma_o^*$ . And the inverse projection is  $P_L^{-1}(y)_{y \in \Sigma^*} = \{s \in L : P(s) = y\}$ .

Let  $\Sigma_f = \{\sigma_f\} \subseteq \Sigma$  denote the set of failure events which are to be predicted. For set  $\Sigma_f$ ,  $|\Sigma_f|$  represents the number of failure events contained in the set.

 $L_f = L \cap \Sigma^* \Sigma_f$  denotes the set of all traces of *L* that end in a failure event and  $L_{z_f} = L \cap (\Sigma - \Sigma_f)^*$  denotes the set of all traces in *L* containing no failure events. Define  $\dot{L_{z_f}} = \{s \in L_{z_f} : (\exists t \in L/s)\sigma_f \in t\}$  as a subset of  $L_{z_f}$  in which the post language of *L* after *s* contains failure events and  $\dot{L_{z_f}} = \{s \in L_{z_f} : (\forall t \in L/s) \sigma_f \notin t\}$  as another subset of  $L_{z_f}$  in which the post language of *L* after *s* contains no failure events. Let  $f = L_{z_f} \cup \dot{L_{z_f}}$ .

Let q be a state of G. The feasible event set of q is denoted by  $\Gamma(q) = \{\sigma \in \Sigma : \delta(q, \sigma) \in Q\}$ .

**Definition 1** (Liu, 2019): Let *L* be the language generated by plant *G* with a failure event set  $\Sigma_f$ . Assume there are *m* local projections  $P_i : \Sigma^* \to \Sigma_{o,i}^*$ , where  $i \in I$  and  $I = \{1, 2, ..., m\}$ . *L* is said to be copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in I}$  if

$$(\exists n \in \mathbb{N})(\forall s \in L_t)(\exists j \in I)(\exists t \in \overline{s} \cap L_{i,t})[\mathbb{R}_i(t) = 1],$$

where N is the set of natural number and the condition  $\mathbb{R}_{j}(t)$  is defined as follows:

$$\mathbb{R}_{j}(t) = \begin{cases} 1 & if(\forall v \in L/(P_{j}^{-1}(P_{j}(t)) \cap L_{j}))(\|v\| \ge n \Rightarrow (\sigma_{f} \in v)) \\ 0 & otherwise \end{cases}$$
(1)

Intuitively, L being copredictable means that for each failure event, there is at least one location to predict its future occurrence by limited observable events.

### 3. R-STEP RELATIVE COPREDICTABILITY

In this section, the definitions of relative copredictability and predictive vector are given firstly. Then based on the definitions, the formal description of r-step relative copredictability is introduced.

#### 3.1. Definition of relative copredictability

Let  $G = (Q, \Sigma, \delta, q_0)$  be a plant with a failure event set  $\Sigma_f$ . Assume there are *m* local projections  $P_i : \Sigma^* \to \Sigma_{o,i}^*$ , where  $i \in I$  and  $I = \{1, 2, ..., m\}$ . The *m* local projections are supposed to be independent, namely, without communicating their observation to each other. **Definition 2**: Let *L* be the language generated by *G* with  $\Sigma_f$ . A trace  $s \in L_f$  is said to be copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in I}$  if

$$(\exists n \in \mathbb{N})(\exists t \in \overline{s} \cap L_{f})(\exists j \in I)(\forall v \in L/(P_{j}^{-1}(P_{j}(t)) \cap L_{f}))$$
$$[\|v\| \ge n \Rightarrow (\sigma_{f} \in v)].$$

**Remark 1:** Here, *t* is referred to as predictive prefix of *s*. Suppose  $t_1, t_2, ..., t_l$  are predictive prefixes of *s* w.r.t.  $P_j$ , where  $l \in \mathbb{N}$ . If for i=1,2,...,l,  $\exists k \in \{1,2,...,l\}$  such that  $||t_k|| \leq ||t_i||$ , then  $t_k$  is called the shortest predictive prefix of *s* w.r.t.  $P_i$ , denoted by  $t^j(s)$ .

**Definition 3:** Let *L* be the language generated by *G* with  $\Sigma_f$ . If there is a trace *s* ( $s \in L_f$ ) and *s* is copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in I}$ , then *L* is said to be relatively copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in I}$ .

Intuitively, L being relative copredictable means that there is at least one failure trace where the future occurrences of failure events can be inferred based on at least one local observation.

**Remark 2:** Relative predictability (Zhao et al., 2019) of DESs can be viewed as a special case of the relative copredictability with one location (i.e. m=1).

**Remark 3:** Comparing with Definition 1, it is deduced that copredictability introduced by Liu is a special case of the relative copredictability. If L is copredictable, it must be relatively copredictable, but not vise versa.

**Example 1:** Consider the plant *G* described by Fig.1, where  $Q = \{q_0, q_1, q_2, q_3, q_4, q_5, q_6, q_7, q_8, q_9, q_{10}\}$ ,  $q_0$  is the initial state,  $\Sigma = \{a, b, c, d, u, f\}$  and  $\Sigma_f = \{f\}$  is a failure event set. Assume that there are two local projections  $P_i : \Sigma^* \to \Sigma_{o,i}^*$ , where i = 1, 2, and  $\Sigma_{o,1} = \{a, b, c\}$ ,  $\Sigma_{o,2} = \{a, c, d\}$ .



Fig. 1. Plant G of Example 1.

Now the property of copredictability of G will be analysed.

**Case 1:** Take s = af  $(s \in L_f)$  and t = a  $(t \in (\overline{s} \cap L_{-f}))$ . If j = 1, then for any  $n \in \mathbb{N}$ , there is  $ua \in (P_1^{-1}(P_1(t)) \cap L_{-f})$  and  $v = cc^n$  such that  $v \in L/ua$  and  $||v|| \ge n$ , but  $f \notin v$ . So *s* is not predictable w.r.t.  $\Sigma_f$  and  $P_1$ . Similarly, it is known that *s* is not predictable w.r.t.  $\Sigma_f$  and  $P_2$ .

In this case, the occurrence of event *f* contained in *s* can not be predicted by either of two locations. So it is known that s = af is not copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in \{1,2\}}$  by Definition 2.

**Case 2:** Take s = adf  $(s \in L_f)$  and t = ad  $(t \in (\overline{s} \cap L_{z_f}))$ . If j = 1, then for any  $n \in \mathbb{N}$ , there is  $ua \in (P_1^{-1}(P_1(t)) \cap L_{z_f})$  and  $v = cc^n$  such that  $v \in L/ua$  and  $||v|| \ge n$ , but  $f \notin v$ . But if j = 2,  $(P_2^{-1}(P_2(t)) \cap L_{z_f}) = \{ad\}$ . Then for any  $n \in \mathbb{N}$ , there is  $v = fc^n$  such that  $v \in L/ad$ ,  $||v|| \ge n$  and  $f \in v$ .

In this case, the occurrence of event *f* contained in *s* can not be predicted by the first location, but can be predicted by the second location. So s = adf is copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in \{1,2\}}$ .

**Case 3:** Take s = baf  $(s \in L_f)$  and t = ba  $(t \in (\overline{s} \cap L_{-f}))$ . If j = 1,  $(P_1^{-1}(P_1(t)) \cap L_{-f}) = \{ba\}$ . Then for any  $n \in \mathbb{N}$ , there is  $v = fc^n$  such that  $v \in L/ba$ ,  $||v|| \ge n$  and  $f \in v$ . But if j = 2, then for any  $n \in \mathbb{N}$ , there is  $ua \in (P_2^{-1}(P_2(t)) \cap L_{-f})$  and  $v = cc^n$  such that  $v \in L/ua$  and  $||v|| \ge n$ , but  $f \notin v$ .

In this case, the occurrence of event *f* contained in *s* can be predicted by the first location, but can not be predicted by the second location. So s = baf is copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in \{1,2\}}$ .

By Definition 3, it is known that *L* is relative copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in \{1,2\}}$ . But according to Definition 1, *L* is identified as non-copredictable.

### 3.2. Definition of predictive vector

**Definition 4** (failure states) Let *G* be a deterministic automaton and  $\Sigma_f = \{\sigma_f\}$  be the failure event set. State  $q \in Q$ is referred to as a failure state if  $\sigma_f \in \Gamma(q)$ ; And the set of failure states is denoted by  $\Theta$ .

**Definition 5:** Path  $(q_0, \sigma_0, q_1, ..., \sigma_{n-1}, q_n)$  of *G* is referred to as a failure branch if  $q_n \in \Theta$ ; And the set of failure branches is denoted by  $B_i$ .

**Definition 6:** Let  $b \in B_f$  be a failure branch of *G* and L(b) be the language generated by *b*. Branch *b* is copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in I}$ , if  $\exists s \in L(b)$  and  $s\sigma_f \in L_f$  is copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in I}$ .

**Definition 7:** Let  $B_f = \{b_1, b_2, ..., b_n\}$  be the set of failure branches of *G*. Predictive vector  $\zeta$  of *G* is defined as:

$$\zeta = (\rho_1, \rho_2, \dots, \rho_n) \,. \tag{3}$$

If  $b_j$  is copredictable w.r.t.  $\sum_j$  and  $\{P_i\}_{i \in I}$ , where j = 1, 2, ..., n, then  $\rho_i = 1$ ; otherwise,  $\rho_i = 0$ . **Remark 4:** If  $\zeta = (1,1,...,1)$ , *L* generated by *G* is copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in I}$ ; If  $\zeta = (0_1, 0, ..., 0)$ , the language *L* is not relatively copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in I}$ ; otherwise, the language *L* is relatively copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in I}$ .

The predictive vector describes the copredictability of a decentralized DES in detail. The more 1 in the vector, the more copredictable failure branches exist in the system. That is to say, the system has stronger copredictable performance.

## 3.3. Definition of r-step relative copredictability

**Definition 8:** Let *L* be the language generated by *G* with  $\Sigma_f$  and trace  $s \in L_f$  be copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in I}$ . Assume the occurrences of failure events contained in *s* are predictable by projections  $P_1, P_2, ..., P_k (k \le m)$  and  $t^j(s)$  is the shortest predictive prefix of *s* w.r.t.  $P_j$ , where j = 1, 2, ..., k. (a) If take  $r^j(s) = (\min(||s||) - ||t^j(s)|| - 1)$ , then *s* is predictable prior to  $r^j(s)$  steps at most w.r.t.  $\Sigma_f$  and  $P_i$ . (b) If take  $r(s) = \min\{r^1(s), r^2(s), ..., r^k(s)\}$ , then *s* is said to be copredictable prior to r(s) steps at most w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in I}$ .

**Definition 9:** Let  $b \in B_f$  be a failure branch of , L(b) *G* be the language generated by *b*. Suppose  $s \in L(b)$ ,  $s\sigma_f \in L_f$ and  $s\sigma_f$  is copredictable prior to r(s) steps at most w.r.t.  $\Sigma_f$ and  $\{P_i\}_{i \in I}$ . Take r(b) = r(s), then *b* is copredictable prior to r(b) steps at most w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in I}$ . Suppose  $s \in L(b)$ ,  $s\sigma_f \in L_f$  and  $s\sigma_f$  is not copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in I}$ , then take  $r(b) = r(s) = \infty$  ( $\infty$  denotes infinity).

**Definition 10:** Let *L* generated by *G* be copredictable with  $\Sigma_f$  and  $\{P_i\}_{i \in I}$ . Suppose  $B_f = \{b_1, b_2, ..., b_n\}$ . (a) Take  $\kappa = (r(b_1), r(b_2), ..., r(b_n))$ ,  $\kappa$  is referred to as step vector of  $B_f$ , where if  $b_i$  (i = 1, 2, ..., n) is not copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in I}$ , then  $r(b_i) = \infty$ . (b) If take  $r = \min\{r(b_1), r(b_2), ..., r(b_i)\}$ , then *L* is said to be copredictable prior to *r* steps at most w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in I}$ .

## 4. AN ALGORITHM FOR VERIFYING RELATIVE COPREDICTABILITY

In the section, an algorithm based on the verifier (Jiang et al., 2001; Yoo and Lafortune, 2002) is presented to verify relative copredictability of DESs and to compute the boundary number of steps prior to occurrences of failure events. Furthermore, some examples are provided to illustrate the algorithm.

4.1. A verification algorithms based on a verifier

### Algorithm 1:

Let *L* be the language generated by *G* with  $\Sigma_f$ . Assume there are *m* local projections  $P: \Sigma^* \to \Sigma_{o,i}^*$ , where  $i \in I$ .

## Input:

 $G = (Q, \Sigma, \delta, q_0), B_t = \phi, k = 0, n = 0.$ 

Step 1: Mark all failure states of G.

Find all failure states of G and mark them with double solid circles.

Step 2: Construct non-failure automaton  $G_N$ .

 $G_N = G \times A_N$  models the normal behavior of *G*, where  $A_N$  is composed of a single state *N* with a self-loop labeled with all events in  $\Sigma \setminus \Sigma_f$ .

Step 3: Put all failure branches of  $G_N$  in the set  $B_f$  and construct automata for them.

Find all failure branches of  $G_N$  by Definition 5 and denote them by  $b_1, b_2, ..., b_n \cdot B_f = \{b_1, b_2, ..., b_n\}$ , where  $n = |B_f|$  is the number of failure branches. Let  $b_i$  be a branch of  $B_f$  and  $L(b_i)$  be the language generated by  $b_i$ , where i = 1, 2, ..., n. Construct the automata  $G_{1i} = (Q_{1i}, \Sigma_{1i}, \delta_{1i}, q_0)$  for  $L(b_i)$ , where  $Q_{1i} = \{q \in Q : q \text{ is the state in the branch } b_i\}$ ,  $\Sigma_{1i} = \{\sigma \in \Sigma : \sigma \in L(b_i)\}$  and  $\delta_{1i} = \delta|_{Q_{1i} \times \Sigma_{1i} \to Q_{1i}}$ .

Step 4: Initialize predictive vector and step vector.

Predictive vector  $\zeta = (\rho_1, \rho_2, \dots, \rho_n)$ , step vector  $\kappa = (r(b_1), r(b_2), \dots, r(b_n))$ , where  $\rho_i = 0, r(b_i) = \infty$  and  $i = 1, 2, \dots, n$ .

Step 5: Construct an automaton for  $L_{z_f}$ .

Construct automaton  $G_2 = (Q_2, \Sigma_2, \delta_2, q_0)$  for  $\vec{L}_{-f}$ , where  $\Sigma_2$ = { $\sigma \in \Sigma : (\exists s \in \vec{L}_{-f})$   $\sigma \in s$ },  $Q_2 = \{q \in Q : q = q_0 \lor (\exists s \in \vec{L}_{-f})$  $\delta(q_0, s) = q$ } and  $\delta_2 = \delta|_{Q_2 \times \Sigma_2 \to Q_2}$ .

Step 6: For each  $j \in I$  and i = 1, 2, ..., n, construct verifier automaton  $V_{3i}^{j}$  according to  $G_2$ ,  $G_{1i}$  and  $P_j$ .

Based on local projection  $P_{j}$ , automata  $G_2$  and  $G_{1i}$ , construct verifier automaton  $V_{3i}^{j}$ . The verifier automaton is a finite-state automaton

$$V_{3i}^{j} = (Q_{Vi}^{j}, \sum_{Vi}^{j}, \delta_{Vi}^{j}, q_{v,0})$$
(4)

where  $Q_{V_i}^j \subseteq Q_2 \times Q_{1i}$  is the set of states;  $\Sigma_{V_i}^j = \Sigma_2 \cup \Sigma_{1i}$ ;  $q_{v,0} = (q_0, q_0)$ ;  $\delta_{V_i}^j$  is the state transition function defined as follows:

for any state  $(x_1, x_2) \in Q_{v_i}^j$ , if  $\sigma \in \Sigma_o$  for the local projection  $P_j$ ,  $\delta_2(\mathbf{x}_1, \sigma) \in Q_2$  and  $\delta_{ij}(\mathbf{x}_2, \sigma) \in Q_{ij}$ , then

$$\delta_{V_i}^j((x_1, x_2), \sigma) = (\delta_2(x_1, \sigma), \delta_{1i}(x_2, \sigma));$$

if  $\sigma \in \sum_{uo}$  for the local projection  $P_j$ , then

(a) if  $\delta_2(\mathbf{x}_1, \sigma) \in Q_2$  and  $\delta_{1i}(\mathbf{x}_2, \sigma)$  is not defined in the  $G_{1i}$ , then

$$\delta_{Vi}^{j}((x_{1}, x_{2}), \sigma) = (\delta_{2}(x_{1}, \sigma), x_{2});$$

(b) if  $\delta_2(\mathbf{x}_1, \sigma)$  is not defined in the  $G_2$  and  $\delta_{1i}(\mathbf{x}_2, \sigma) \in Q_{1i}$ , then

$$\delta_{Vi}^{j}((x_1, x_2), \sigma) = (x_1, \delta_{1i}(x_2, \sigma))$$

(c) if  $\delta_2(\mathbf{x}_1, \sigma) \in Q_2$  and  $\delta_{li}(x_2, \sigma) \in Q_{li}$ , then

$$\delta_{Vi}^{j}((x_{1}, x_{2}), \sigma) = \{ (\delta_{2}(x_{1}, \sigma), x_{2}), (x_{1}, \delta_{1i}(x_{2}, \sigma)), (\delta_{2}(x_{1}, \sigma), \delta_{1i}(x_{2}, \sigma)) \}.$$

Step 7: Check if there exists a state in the  $V_{3i}^{j}$  satisfying the condition (5) given by Theorem 1 below.

If the answer is yes for each  $j \in I$ , then  $\rho_i = 0$ ; otherwise  $\rho_i = 1$  and k = k + 1.

**Theorem 1:** Let  $V_{3i}^{j}$  be the verifier of branch  $b_i$  under the location projection  $P_j$ . The branch  $b_i$  is not copredictable w.r.t.  $\Sigma_f$  and  $P_j$  iff there exists a state  $(x_1, x_2) \in Q_{y_i}^{j}$  such that

$$[\mathbf{X}_{1} \notin \Theta_{i}] \wedge [\mathbf{X}_{2} \in \Theta_{i}], \tag{5}$$

where  $\Theta_i$  denotes the set of failure states in the branch  $b_i$ .

Proof: (Sufficiency) Suppose there exists a state  $(x_1, x_2) \in Q_{j_i}^i$ such that  $x_1 \notin \Theta_i$  and  $x_2 \in \Theta_i$ . By the construction of  $V_{3i}^j$ , there exists a string  $s \in L_{-f}^i$  and  $t \in L_{-f}^i$  such that P(s) = P(t),  $x_1 = \delta(x_0, s)$  and  $x_2 = \delta(x_0, t)$ . Since P(s) = P(t),  $b_i$  is not copredictable w.r.t.  $\Sigma_f$  and  $P_j$  by Definition 2 and Definition 6.

(Necessity) Suppose that  $b_i$  is not copredictable w.r.t.  $\Sigma_f$ and  $P_j$ . Then it is known that there exists  $s \in L_{-f}$  such that  $\delta(x_0, s) \in \Theta_i$ . And there exists  $t \in L_{-f}$  and P(s) = P(t) such that  $\delta(x_0, t) \notin \Theta_i$ . According to the definition of  $V_{3i}^j$ , there exists a state  $(x_1, x_2) \in Q_{Vi}^j$  such that  $\delta(x_0, s) = x_1$ ,  $\delta(x_0, t) = x_2$  and  $[\mathbf{x}_1 \in \Theta_i] \land [\mathbf{x}_2 \notin \Theta_i]$ . Thus, the condition(5) holds.

If there is a  $j \in I$  such that the condition (5) does not hold, then the branch  $b_i$  is copredictable w.r.t.  $\Sigma_f$  and  $P_j$ . Let  $s \in L(b_i)$  and  $s\sigma_f \in L_f$ , compute  $r^j(s) = (\min(||s||) - ||t^j(s)|| - 1)$ . And  $r^j(b_i) = r^j(s)$ . Suppose the occurrences of failure events contained in  $b_i$  are predictable by projections  $P_1, P_2, \dots, P_k$  ( $k \le m$ ), then  $r(b_i) = \min\{r^1(b_i), r^2(b_i), \dots, r^k(b_i)\}$ .

Step 8: Compute the value of predictive vector  $\zeta$ , step vector  $\kappa$  and r.

 $\zeta = (\rho_1, \rho_2, ..., \rho_n) \text{ and } \kappa = (r(b_1), r(b_2), ..., r(b_n)) \cdot r = \min\{r(b_i)\},$ where i = 1, 2, ..., n.

**Output:**  $\zeta$  and r.

If  $\zeta = (1,1,...,1)$ , then *L* is copredictable prior to *r* steps at most w.r.t.  $\{P_i\}_{i \in I}$  and  $\Sigma_j$ ;

if  $\zeta = (0,0,...,0)$ , then *L* is not relatively copredictable w.r.t.  $\{P_i\}_{i \in I}$  and  $\Sigma_f$ ;

otherwise, *L* is relatively copredictable prior to *r* steps at most w.r.t.  $\{P_i\}_{i \in I}$  and  $\Sigma_f$ .

Now, the computational complexity of the algorithm is discussed.

Given the system  $G = (Q, \Sigma, \delta, q_0)$ , the number of feasible transitions from a reachable state  $q \in Q$  is  $|\Sigma|$  in the worst case. So the time complexity of the first step is  $O(|Q||\Sigma|)$ . The number of states of G is |Q|, and the number of feasible transitions of every state is  $(|\Sigma| - |\Sigma_f|)$ , so the construction of  $G_N$  takes  $O(|Q|(|\Sigma| - |\Sigma_f|))$  time. In the third step, because automaton  $G_{1i}$  has  $|Q_{1i}|$  states and every state has  $|\Sigma_{1i}|$  feasible transitions at most, so the the time complexity of construction of  $G_{1i}$  is  $O(|Q_{1i}||\Sigma_{1i}|)$ . Likewise, the time complexity of the construction of  $G_2$  in the fifth step is  $O(|Q_2||\Sigma_2|)$ . The computational complexity of the sixth step and the seventh step is based on a verifier. The construction of the verifier takes time  $O(n|Q^2||\Sigma|)$  in the worst case. Overall, the complexity of the algorithm is polynomial.

#### 4.2. Illustrative examples

**Example 2:** Consider again plant *G* described in Example 1. Example 1 shows that *L* is relative copredictable w.r.t.  $\Sigma_f$  and  $\{P_1, P_2\}$  by using the Definition 3. In the following, the conclusion will be verified by Algorithm 1.



Fig. 2. Plant G of Example 2.

According to Definition 4, it is known that  $q_2$ ,  $q_6$  and  $q_7$  are failure states of *G*, which are marked with double solid circle and highlighted by red color as that in Fig.2.

$$\longrightarrow \mathbb{N} \Sigma \setminus f$$

Fig. 3.  $A_N$ 

 $A_N$  is described by Fig.3. Non-failure automaton  $G_N$  is constructed based on  $A_N$  as shown in Fig.4.



Fig. 4.  $G_N$  of Example 2.

As Fig.4 shows,  $G_N$  has three failure branches:  $b_1 = (0, a, 2)$ ,  $b_2 = (0, a, 2, d, 6)$  and  $b_3 = (0, b, 3, a, 7)$ . Put them in the set  $B_f$ .  $B_f = \{b_1, b_2, b_3\}$  and  $n = |B_f| = 3$ . Let  $\Theta_i$  denote the set of the failure states in the branch  $b_i$ , then  $\Theta_1 = \{2\}$ ,  $\Theta_2 = \{6\}$  and  $\Theta_3 = \{7\}$ .

Automata  $G_{11}$ ,  $G_{12}$  and  $G_{13}$  are constructed for  $L(b_1)$ ,  $L(b_2)$  and  $L(b_3)$  as shown in Fig.5(a), Fig.5(b) and Fig.5(c) respectively.



Fig. 5.  $G_{ii}$  (*i* = 1, 2, 3) of Example 2.

Since n=3,  $\zeta = (\rho_1, \rho_2, \rho_3)$ ,  $\kappa = (r(b_1), r(b_2), r(b_3))$ , where  $\rho_i = 0$ ,  $r(b_i) = \infty$  and i = 1, 2, 3.

Now construct automaton  $G_2$  as that in Fig.6.

$$\underbrace{u}_{0} \xrightarrow{a} \underbrace{4}_{c} c$$

Fig. 6.  $G_2$  of Example 2.

Based on local projections  $P_1, P_2$ , automata  $G_{11}$  and  $G_2$ , construct verifier automata  $V_{31}^1$  and  $V_{31}^2$  as described in Fig.7.

Fig. 7.  $V_{31}^1$  or  $V_{31}^2$ 

With the similar method, automata  $V_{32}^1$  and  $V_{32}^2$  are constructed as that in Fig.8(a) and Fig.8(b); automata  $V_{33}^1$  and  $V_{33}^2$  are constructed as that in Fig.9(a) and Fig.9(b).

$$\begin{array}{c} 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 0 \\ \hline & & & & & & & & & & & & \\ \end{array}$$
(a)  $V_{32}^1$ 
(b)  $V_{22}^2$ 

Fig. 8.  $V_{32}^{i}$  (*i* = 1, 2) of Example 2.

$$(a) V_{33}^{1}$$

$$(b) V_{33}^{2}$$

Fig. 9.  $V_{33}^{i}$  (*i* = 1, 2) of Example 2.

83

As Fig.7 shows, state (4,2) in the  $V_{31}^1$  or  $V_{31}^2$  satisfies condition (5), i.e.,  $4 \notin \Theta_1$ , but  $2 \in \Theta_1$ . Therefore,  $b_1$  is not copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in [1,2]}$ , i.e.  $\rho_1 = 0$ .

From Fig.8(a), it is clear that state (4,6) in the  $V_{32}^1$  satisfies condition (5), i.e.,  $4 \notin \Theta_2$ , but  $6 \in \Theta_2$ . So  $b_2$  is not predictable w.r.t.  $\Sigma_f$  and  $P_1$ . But in Fig.8(b), there is no state of the  $V_{32}^2$  satisfies condition (5), so  $b_2$  is predictable w.r.t.  $\Sigma_f$  and  $P_2$ . According to the definition 2 and 6,  $b_2$  is copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in \{1,2\}}$ , i.e.,  $\rho_2 = 1$ . Let s = ad, then  $s \in L(b_2)$  and  $s\sigma_f \in L_f$ . As seen from  $V_{32}^2$ , a is not the shortest predictive prefix of the trace  $s\sigma_f$  since there is an indistinguishable string ua. Its the shortest predictive prefix is ad, i.e.,  $t^2(s\sigma_f) = ad$ . Therefore,  $r^2(s\sigma_f) = (\min(\|s\sigma_f\|) - \|t^2(s\sigma_f)\| - 1) = 0$  i.e.,  $r(b_2) = 0$ .

By a simple inspection Fig.9(a), there is no state in the  $V_{33}^{11}$  satisfies condition (5), so  $b_3$  is predictable w.r.t.  $\Sigma_f$  and  $P_1$ . As Fig.9(b) shows, state (4,7) in the  $V_{33}^2$  satisfies condition (5), i.e.,  $4 \notin \Theta_3$ , but  $7 \in \Theta_3$ . So  $b_3$  is not predictable w.r.t.  $\Sigma_f$  and  $P_2$ . According to the definition 2 and 6,  $b_3$  is copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in \{1,2\}}$ , i.e.,  $\rho_3 = 1$ . Let s = ba, then  $s \in L(b_3)$  and  $s\sigma_f \in L_f$ . As seen from  $V_{33}^1$ , b is the shortest predictive prefix of the trace  $s\sigma_f$  since there is no indistinguishable string with the string b, i.e.,  $t^1(s\sigma_f) = b$ . Therefore,  $r^1(s\sigma_f) = (\min(\|s\sigma_f\|) - \|t^1(s\sigma_f)\| - 1) = 1$  i.e.,  $r(b_3) = 1$ .

According to the above analysis, it is known that  $\zeta = (0,1,1), \kappa = (\infty,0,1)$  and  $r = \min\{r(b_1), r(b_2), r(b_3)\} = 0$ .

The result shows that w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in \{1,2\}}$ , failure branch  $b_1$  is not copredictable, failure branch  $b_2$  is copredictable prior to 0 steps at most, while failure branch  $b_3$  is copredictable prior to 1 steps at most. That is to say, two of three failure branches of *G* are copredictable. Therefore, the same conclusion as Example 1 that *L* is relatively copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in \{1,2\}}$  is drawn. Moreover, we know that *L* is relatively copredictable prior to 0 steps at most w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in \{1,2\}}$ .

**Example 3:** Consider the plant *G* shown in Fig.10, where  $\Sigma = \{a, b, c, d, f\}$  with a failure event set  $\Sigma_f = \{f\}$  and  $q_0$  is the initial state. Assume that there are two local projections  $P_i: \Sigma^* \to \Sigma_{a_i}^*$ , where  $i = 1, 2, \Sigma_{a_1} = \{a, b, c, d\}$ , and  $\Sigma_{a_2} = \{a, b, c\}$ .



Fig. 10. Plant *G* in Example 3.

In the following steps, Algorithm 1 will be used to test the copredictability of G.

From Fig.10, it is known that  $q_1$  and  $q_4$  are failure states. Mark them with double circle as that in Fig.11.



Fig. 11. Plant G of Example 3 with failure states.

 $G_N$  is obtained by  $G \times A_N$  as shown in Fig.12.



Fig. 12.  $G_N$  of Example 3.

As Fig.12 shows,  $G_N$  has two failure branches:  $b_1 = (0, a, 1)$ ,  $b_2 = (0, d, 4)$ . Therefore,  $B_f = \{b_1, b_2\}$ ,  $n = |B_f| = 2$ . Construct automata  $G_{11}$  and  $G_{12}$  as that in Fig.13(a) and Fig.13 (b), respectively.





Fig. 13.  $G_{ii}$  (i=1,2) of Example 3.

Because n = 2, so  $\zeta = (\rho_1, \rho_2)$ ,  $\kappa = (r(b_1), r(b_2))$ , where  $\rho_i = 0$ ,  $r(b_i) = \infty$  and i = 1, 2.

Continue to construct automaton  $G_2$  as that in Fig.14.



Fig. 14.  $G_2$  of Example 3.

Then, construct automata  $G_{31}^1$  and  $G_{31}^2$  as that in Fig.15(a) and Fig.15 (b), respectively; construct automata  $G_{32}^1$  and  $G_{32}^2$  as that in Fig.16 (a) and Fig.16 (b), respectively.



Fig. 15.  $V_{31}^{i}$  (i=1,2) of Example 3.



Fig. 16.  $V_{32}^{i}$  (i=1,2) of Example 3.

As Fig.15 shows, state (5,1) in the  $V_{31}^2$  satisfies condition (5). But in the  $V_{31}^1$ , there is no state satisfies condition (5). Therefore,  $b_1$  is copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in \{1,2\}}$ , i.e.  $\rho_1 = 1$ . Let s = a, then  $s \in L(b_1)$  and  $s\sigma_f \in L_f$ . As seen from  $V_{31}^1$ , *a* is the shortest predictive prefix of the trace  $s\sigma_f$  since

there is no indistinguishable string with the string a, i.e.,  $t^1(s\sigma_f) = a$ . Therefore,  $r^1(s\sigma_f) = (\min(||s\sigma_f||) - ||t^1(s\sigma_f)|| - 1) = 0$ , i.e.,  $r(b_1) = 0$ . Note the state (4,4) in the  $V_{32}^1$  or  $V_{32}^2$  of Fig.16, in which the first state 4 is the state 4 in  $G_2$ , while the second state 4 refers to the failure state 4 in the  $b_2$ . So the state (4,4) satisfies condition (5). Therefor,  $b_2$  is not copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i\in[1,2)}$ , i.e.  $\rho_2 = 0$ .

Through the algorithm, it is known that  $\zeta = (1,0)$ ,  $\kappa = (0,\infty)$ and  $r = \min\{r(b_1), r(b_2)\}=0$ .

The result indicates that one of two failure branches of *G* is copredictable. So *L* generated by the plant *G* is relatively copredictable prior to 0 steps at most w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in \{1,2\}}$ . **Example 4:** Consider the plant *G* (Liu, 2019) shown in Fig.17, where  $\Sigma = \{a, b, e, g, \sigma_f, \sigma_u\}$  with a failure event set  $\Sigma_f = \{\sigma_f\}$  and  $q_0$  is the initial state. Assume that there are two local projections  $P_i : \Sigma^* \to \Sigma_{o,i}^*$ , where i = 1, 2,  $\Sigma_{o1} = \{g, a, b\}$ , and  $\Sigma_{o2} = \{e, a, b\}$ . Liu has shown that *L* generated by *G* is copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in \{1,2\}}$ . Now the conclusion is verified by Algorithm 1.



Fig. 17. Plant G in Example 4.

As Fig.17 shows,  $q_3$  is the failure state. And  $G_N$  is constructed as that in Fig.18.



Fig. 18.  $G_N$  of Example 4.

There are two failure branch in  $G_N$ :  $b_1 = (0, a, 3)$ ,  $b_2 = (0, b, 3)$ . So  $B_f = \{b_1, b_2\}$ . Fig.19 (a) and Fig.19 (b) are the automata corresponding to them. Since  $n = |B_f| = 2$ ,  $\zeta = (\rho_1, \rho_2) = (0, 0)$  and  $\kappa = (r(b_1), r(b_2)) = (\infty, \infty)$ .





Fig. 19. Failure branches of G in Example 4.

Automaton  $G_2$  is constructed as that in Fig.20.



Fig. 20.  $G_2$  of Example 4.

Automata  $V_{31}^1$  and  $V_{31}^2$  are constructed as that in Fig.21(a) and Fig.21 (b), respectively; automata  $V_{32}^1$  and  $V_{32}^2$  are constructed as that in Fig.22 (a) and Fig.22 (b), respectively.



Fig. 21.  $V_{31}^{i}$  (*i* = 1, 2) of Example 4.

(a) 
$$V_{32}^1$$
  
(b)  $V_{32}^2$ 

Fig. 22.  $V_{32}^{i}$  (*i* = 1, 2) of Example 4.

As Fig.21(b) and Fig.22(a) show, there is no state satisfies condition (5) in the  $V_{31}^2$  and  $V_{32}^1$ . Therefore,  $b_1$  and  $b_2$  are copredictable w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in \{1,2\}}$ , i.e.  $\rho_1 = 1$  and  $\rho_2 = 1$ . Let s = a, then  $s \in L(b_1)$  and  $s\sigma_f \in L_f$ . As seen from  $V_{31}^2$ , a is the shortest predictive prefix of the trace  $s\sigma_f$  since there is no indistinguishable string with the string a, i.e.,  $t^2(s\sigma_f) = a$ . Therefore,  $r^1(s\sigma_f) = (\min(||s\sigma_f||) - ||t^2(s\sigma_f)|| - 1) = 0$ , i.e.,  $r(b_1) = 0$ . Let s = b, then  $s \in L(b_2)$  and  $s\sigma_f \in L_f$ . As seen from  $V_{32}^1$ , b is the shortest predictive prefix of the trace  $s\sigma_f$  since there is no indistinguishable string with the string b, i.e.,  $t^1(s\sigma_f) = b$ . Therefore,  $r^2(s\sigma_f) = (\min(||s\sigma_f||) - ||t^1(s\sigma_f)|| - 1) = 0$ , i.e.,  $r(b_2) = 0$ .



Based on the above analysis, it is easy to know that  $\zeta = (\rho_1, \rho_2) = (1, 1)$ ,  $\kappa = (r(b_1), r(b_2)) = (0, 0)$  and  $r = \min\{r(b_1), r(b_2)\} = 0$ .

The result indicates that two failure branches of the plant *G* are both copredictable prior to 0 steps at most w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i\in\{1,2\}}$ . So *L* generated by the plant *G* is copredictable

prior to 0 step at most w.r.t.  $\Sigma_f$  and  $\{P_i\}_{i \in \{1,2\}}$ . The example shows that Algorithm 1 is also applicable for the verification of copredictability introduced by Liu.

# 7. CONCLUSIONS

In this paper, the relative copredictability of decentralized DESs is investigated. The notion of relative copredictability was formalized, which is weaker than copredictability and relative predictability. In order to verify whether a system is relatively copredictable, a necessary and sufficient condition of relative copredictability was presented. Moreover, an algorithm of polynomial complexity for calculating the predictive vector and the boundary number of steps prior to occurrences of failures was proposed. This reported work generalized the main results of (Liu, 2019). Further issue worthy of consideration is the problem of relative predictability of fuzzy DESs. We would like to consider it in subsequent work.

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