

# Adaptive fuzzy pre allocation performance control for uncertain nonlinear systems within finite time

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**Abstract:** This paper explores preassigned performance control (PPC) in finite-time for uncertain nonlinear systems under input saturation and unmeasurable states. The key is that a modified finite-time performance function is constructed such that the expected performance indicators can be obtained in finite-time. Simultaneously, the effect of saturation nonlinearity is compensated via utilizing mean-value theorem. By combining fuzzy universal approximator and adaptive parameter estimation technology, a novel PPC algorithm is developed, which realizes that tracking error converges to preassigned range in finite time. Finally, the viability of developed control algorithm is demonstrated via two examples.

**Keywords:** Uncertain nonlinear control, finite-time control, preassigned performance control.

## 1. INTRODUCTION

In practical engineering scenarios, it is critical to complete a control work as quickly as possible (Gao et al., 2024). The method of finite-time control has shown obvious advantage in realizing this target and has accordingly attracted wide attention (Sun et al., 2018; Li et al., 2022; Haddad et al., 2023; Zhang et al., 2024; Zhao et al., 2022; Zhang et al., 2024). For uncertain nonstrict systems with unknown dynamics, the authors in (Sun et al., 2018) propose practically finite-time stability criterion for the first time. On this basis, the authors in (Li et al., 2022) expand the finding from (Sun et al., 2018) to surface vehicle systems via utilizing fuzzy logic system (FLS). Moreover, for nonlinear discrete-time systems with non-vanishing uncertainties, an adaptive optimal control method is developed to realize finite-time stability (Haddad et al., 2023). By devising a matrix-weighted estimator, the authors in (Zhao et al., 2022) propose a finite-time distributed control algorithm for multi-agent systems so as to realize the leader-following formation tracking objective within finite-time.

Even so, both specific stability time and accuracy are ambiguous in above finite-time control schemes. In order to predefine expected transient and steady-state performance indicators, preassigned performance control (PPC) method, which was presented initially in (Benchlioulis et al., 2008), has garnered significant attention in recent years (Ma et al., 2022; Mao et al., 2024; Zhang et al., 2022; Chen et al., 2024; Liu et al., 2018; Fu et al., 2023). To mention a few, the authors in (Ma et al., 2022) expand the method from (Benchlioulis et al., 2008) to single-link flexible-joint robotic systems, in which an adaptive FLS control algorithm is presented to realize that tracking error converges within preassigned zone. To further optimize global performance, the authors in (Zhang et al., 2022) introduce a tuning function into the control design to alleviate the restraints on initial condition. For purpose of realizing expected performance indicators as soon as possible, a finite-time performance function (FTPF) is devised to complete the PPC objective within finite-time (Liu et al.,

2018). On this basis, the authors in (Fu et al., 2023) expand the method to uncertain systems under unknown hysteresis.

In spite of this, further research is demanded to focus on the application of finite-time preassigned performance control (FTPPC) method in handling special nonlinearity characteristics, especially input saturation which attracts attention of many scholars due to its intractability and unavoidability in practical systems (Song et al., 2020; Liu et al., 2024; Li et al., 2021; Zou et al., 2024; Fotiadis et al., 2024). For switched nonlinear systems under actuator saturation, the authors in (Song et al., 2020) present a robust adaptive control scheme to ensure system stability, where an auxiliary compensation mechanism is devised to overcome the effect of saturation nonlinearity. By constructing an indicator function of saturation degree to handle asymmetric input saturation, an observer-based adaptive control algorithm is developed for switched systems with unavailable state information (Li et al., 2021). Nevertheless, these control schemes are not capable of realizing PPC in finite-time. How to develop an effective FTPPC strategy under saturation nonlinearity, is an important topic open to investigate.

Based on the above discussion, this paper explores the issue of FTPPC for uncertain systems under saturation nonlinearity and unmeasurable states. The chief contributions are outlined as follows:

(1) The developed control algorithm realizes that tracking error converges into a preassigned range in finite time. Meanwhile, both transient and steady-state performance are further optimized. Although (Liu et al., 2018) also investigate the issue of FTPPC, the unmeasurable states in this paper lead to the control algorithm in (Liu et al., 2018) unusable. By designing an observer to estimate unknown states information, the developed control scheme can be more widely applied in actual plants.

(2) Different from existing PPC strategies (Ma et al., 2022; Mao et al., 2024), the modified FTPPF in the paper can complete the preassigned performance tracking control

objective with faster convergence rate. Moreover, compared to finite-time control methods (Sun et al., 2018; Li et al., 2022), both stability time and steady-state accuracy in this paper can be preassigned explicitly.

(3) This paper expands the FTPPC method from deterministic systems to uncertain systems and further takes into account the effect of saturation nonlinearity. By employing fuzzy approximate technology and devising a smooth auxiliary function, the developed control strategy not only deals with unknown dynamics but also enhances system robustness in the presence of saturation nonlinearity.

## 2. PRELIMINARIES AND PROBLEM FORMULATION

**Lemma 1** (Zhou et al., 2024) For a continuous function  $F(X_0)$  defined on compact set  $\Theta$ , there is a FLS  $W_0^T \kappa_0(X_0)$  meeting

$$F(X_0) = W_0^T \kappa_0(X_0) + \delta_0(X_0), \quad (1)$$

where  $X_0 \in \Theta \subset \mathbb{R}^n$ ,  $W_0 = [\omega_1, \omega_2, \dots, \omega_N]^T$  represents the ideal weight vector and  $N$  is the inference rules number,  $\delta_0(X_0)$  represents the approximation error meeting  $\|\delta_0(X_0)\| \leq \varepsilon_0$ ,  $\varepsilon_0 > 0$ .  $\kappa_0(X_0)$  and  $d_i(X_0)$  are fuzzy basis function and corresponding membership functions, which can be expressed as

$$\kappa_0(X_0) = \frac{1}{\sum_{i=1}^N d_i(X_0)} [d_1(X_0), d_2(X_0), \dots, d_N(X_0)]^T,$$

$$d_i(X_0) = \exp\left(\frac{-(X_0 - y_i)^T (X_0 - y_i)}{\lambda_i^2}\right),$$

in which  $y_i = [y_{i1}, y_{i2}, \dots, y_{in}]^T$  means the center vector, and  $\lambda_i$  represents the width of  $d_i(X_0)$ .

**Lemma 2** (Fu et al., 2023) Assume that  $\rho_1$ ,  $\rho_2$ , and  $\rho_3$  are positive constants. Then for  $x, y \in \mathbb{R}$ , one has

$$|x|^{\rho_1} |y|^{\rho_2} \leq \frac{\rho_1}{\rho_1 + \rho_2} \rho_3 |x|^{\rho_1 + \rho_2} + \frac{\rho_2}{\rho_1 + \rho_2} \rho_3^{\frac{\rho_1}{\rho_2}} |y|^{\rho_1 + \rho_2}.$$

**Definition 1** (Tao et al., 2024) If a smooth function  $F_p(t)$  meets: 1)  $F_p(t) > 0$ ; 2)  $\dot{F}_p(t) < 0$ ; 3)  $\lim_{t \rightarrow T_c} F_p(t) = \hat{h}_{T_c} > 0$  and  $F_p(t) = \hat{h}_{T_c}$  for any  $t \geq T_c$  with  $\hat{h}_{T_c}$  denoting steady-state accuracy and  $T_c$  denoting stability time, then  $F_p(t)$  is called as FTPF.

According to Definition 1, the FTPF in this paper is devised as follows

$$F_p(t) = \begin{cases} \left(\hat{h}_0 - \frac{t}{T_c}\right) e^{\left(1 - \frac{T_c}{T_c - t}\right)} + \hat{h}_{T_c} & t \in [0, T_c) \\ \hat{h}_{T_c} & t \in [T_c, +\infty) \end{cases}, \quad (2)$$

where  $\hat{h}_0 \geq 1$  and  $\hat{h}_{T_c} > 0$  are design parameters. With the help of FTPF, both stability time and steady-state accuracy in this paper can be preassigned explicitly, thereby further increasing the convergence rate.

The controlled nonlinear system is provided as

$$\begin{cases} \dot{x}_i = x_{i+1} + f_i(\bar{x}_i) & i = 1, \dots, n-1 \\ \dot{x}_n = u(v) + f_n(\bar{x}_n) \\ y = x_1 \end{cases}, \quad (3)$$

where  $\bar{x}_i = [x_1, \dots, x_i]^T$  denotes state vector ( $x_2, \dots, x_n$  are unmeasurable),  $y$  denotes system output,  $f_i (i = 1, \dots, n)$  denotes unknown nonlinear function representing the uncertainties in the system dynamics,  $v$  represents actual control input, and  $u(v)$  represents system input under saturation nonlinearity described by

$$u(v) = \begin{cases} u_M \text{sign}(v), & |v| \geq u_M \\ v, & |v| < u_M \end{cases}, \quad (4)$$

where  $u_M$  is the bound of  $u(v)$ . To guarantee the system robustness under saturation nonlinearity, we construct the smooth auxiliary function as follows

$$\varpi(v) = u_M \tanh\left(\frac{v}{u_M}\right). \quad (5)$$

Then,  $u(v)$  in (4) can be expressed in the following form

$$u(v) = \varpi(v) + \sigma(v), \quad (6)$$

where  $\sigma(v) = u(v) - \varpi(v)$  meets

$$|\sigma(v)| = |u(v) - \varpi(v)| \leq u_M (1 - \tanh(1)) = \bar{\sigma}. \quad (7)$$

According to mean-value theorem, there is a constant  $\tau$  satisfying  $0 < \tau < 1$  so that

$$\varpi(v) = \varpi(v_0) + \varpi_{v_\tau} (v - v_0), \quad (8)$$

where  $\varpi_{v_\tau} = \frac{\partial \varpi(v)}{\partial v} \Big|_{v=v_\tau} = \text{sech}^2\left(\frac{v}{u_M}\right) \Big|_{v=v_\tau}$ ,  $v_\tau = \tau v + (1 - \tau)v_0$ . By choosing  $v_0 = 0$ , (8) can be written as

$$\varpi(v) = \varpi_{v_\tau} v. \quad (9)$$

**Assumption 1:** The system (3) is input-to state stability.

According to Assumption 1, it can be found that states and control signal  $v$  in this paper are bounded. Hence, there is a positive constant  $\varpi_0$  meeting

$$\frac{\partial \varpi(v)}{\partial v} = \text{sech}^2\left(\frac{v}{u_M}\right) \geq \frac{1}{\left(e^{|v|/u_M}\right)^2} \geq \varpi_0. \quad (10)$$

The lower bound  $\varpi_0$  will be introduced to the construction of Lyapunov function  $V_n$  in (44) later. Besides, the tracking error  $z_1$  is defined as

$$z_1 = y - y_d, \quad (11)$$

where  $y_d$  denotes reference signal.

**Assumption 2:** The reference signal  $y_d$  and its time-derivatives  $y_d^{(j)}$  are continuous and bounded,  $j=1, \dots, n$ .

**Lemma 3** (Ioannou et al., 1996) For any bounded initial condition, if there exists a scalar function  $V(x)$  meets that (i) the function  $V(x)$  is continuously differentiable and positively definite; (ii)  $\varpi_1(x) \leq V(x) \leq \varpi_2(x)$ , where  $\varpi_1, \varpi_2: \mathbb{R}^n \rightarrow \mathbb{R}$  are  $K$ -functions; (iii)  $\dot{V} \leq -\mu V + \Delta$ , where  $\mu$  and  $\Delta$  are positive constants, then the solution of system is ultimately uniformly bounded.

The control objectives in this paper is to develop an adaptive fuzzy PPC method so that all signals in the uncertain nonlinear system are bounded and tracking error  $z_1$  converges to preassigned range in finite time.

### 3. CONTROL DESIGN

#### 3.1 Error transformation

In order to implement PPC, we define a new function  $\gamma(\zeta)$  as follows

$$\gamma(\zeta) = \tanh(\zeta), \quad (12)$$

where  $\zeta$  means an error variable. It can be found that  $\gamma(\zeta) \in (-1, 1)$ , that is,  $\lim_{\zeta \rightarrow +\infty} \gamma(\zeta) = 1$ ,  $\lim_{\zeta \rightarrow -\infty} \gamma(\zeta) = -1$ .

Then, the following error transformation is provided

$$z_1 = F_p(t)\gamma(\zeta). \quad (13)$$

Due to  $\gamma(\zeta) \in (-1, 1)$  and  $F_p(t) > 0$ , it can be found that  $-F_p(t) < F_p(t)\gamma(\zeta) < F_p(t)$  holds. Thus, it is inferred that  $-F_p(t) < z_1 < F_p(t)$ , meaning that tracking error  $z_1$  keeps within the zone  $(-F_p(t), F_p(t))$ . According to the decreasing characteristic of  $F_p(t)$ , it can be known that  $z_1$  converges to the set  $\Xi_w$  within a finite-time.

$$\Xi_w = \{z_1 \in \mathbb{R} : |z_1| < \hbar_{T_c}, t \geq T_c\}. \quad (14)$$

According to (3), (11), and (13), we have

$$\begin{aligned} \dot{z}_1 &= \dot{y} - \dot{y}_d \\ &= x_2 + f_1(\bar{x}_1) - \dot{y}_d \\ &= \dot{F}_p(t)\gamma(\zeta) + F_p(t)\frac{\partial\gamma(\zeta)}{\partial\zeta}\dot{\zeta}(t) \end{aligned} \quad (15)$$

Combining the second and third expressions in (15), one has

$$\begin{aligned} \dot{\zeta}(t) &= \frac{x_2 + f_1(\bar{x}_1) - \dot{y}_d - \dot{F}_p(t)\gamma(\zeta)}{F_p(t)\frac{\partial\gamma(\zeta)}{\partial\zeta}}, \quad (16) \\ &= \mu(\zeta, F_p(t))(x_2 + f_1(\bar{x}_1) - \dot{y}_d - \phi(\zeta, \dot{F}_p(t))) \end{aligned}$$

where

$$\phi(\zeta, \dot{F}_p(t)) = \dot{F}_p(t)\gamma(\zeta), \quad (17)$$

$$\mu(\zeta, F_p(t)) = \left(F_p(t)\frac{\partial\gamma(\zeta)}{\partial\zeta}\right)^{-1}. \quad (18)$$

Differentiating  $\gamma(\zeta)$  with respect to  $\zeta$ , we can obtain

$$\frac{\partial\gamma(\zeta)}{\partial\zeta} = \frac{4e^{-2\zeta}}{(e^{-2\zeta} + 1)^2}, \text{ meaning that } \frac{\partial\gamma(\zeta)}{\partial\zeta} > 0 \text{ holds for any}$$

$-\infty < \zeta < +\infty$ . Furthermore, due to  $F_p(t) > 0$ , it can be

inferred that  $\mu = \left(F_p(t)\frac{\partial\gamma(\zeta)}{\partial\zeta}\right)^{-1} > 0$ , which will be employed to devise the virtual control signal  $\alpha_1$  in (34) later.

Due to the existence of unmeasurable states in system (3), we devise the observer as

$$\begin{cases} \dot{\hat{x}}_i = \hat{x}_{i+1} + l_i(y - \hat{x}_1), & i=1, \dots, n-1 \\ \dot{\hat{x}}_n = u(v) + l_n(y - \hat{x}_1) \end{cases}, \quad (19)$$

where  $\hat{x}_i$  is the estimated value of  $x_i$ ,  $l_i$  is design parameter.

Let  $e = [e_1, e_2, \dots, e_n]^T$ ,  $\hat{x} = [\hat{x}_1, \hat{x}_2, \dots, \hat{x}_n]^T$ , and  $e = x - \hat{x}$ . According to (3) and (19), one has

$$\dot{e} = A_0 e + F(x), \quad (20)$$

where  $F(x) = [f_1(\bar{x}_1), \dots, f_n(\bar{x}_n)]^T$ , and

$$A_0 = \begin{bmatrix} -l_1 & & \\ \vdots & I_{n-1} & \\ -l_n & \dots & 0 \end{bmatrix}. \quad (21)$$

For given positive definite matrix  $Q \in \mathbb{R}^{n \times n}$ , there exists positive definite matrix  $P \in \mathbb{R}^{n \times n}$  meeting

$$A_0^T P + P A_0 = -Q. \quad (22)$$

By designing the observer to estimate unknown states information, the developed control scheme can be more widely applied in actual plants.

#### 3.2 Controller design

In this section, an adaptive fuzzy preassigned performance controller is designed by applying backstepping method, which involves  $n$  steps. The virtual errors  $z_i$  are defined as

$$z_i = \hat{x}_i - \alpha_{i-1}, \quad i=2, \dots, n, \quad (23)$$

where  $\alpha_{i-1}$  represents virtual controller designed in (34) and (41) later. In each step of the backstepping method, the virtual controller  $\alpha_i$  is designed to stabilize the current subsystem and eliminate the unstable cross terms left over from the previous step. Ultimately, the actual controller  $v$  is designed to ensure the stability of the entire closed-loop system.

According to (3), it can be inferred that

$$\begin{cases} \dot{z}_i = z_{i+1} + \alpha_i + l_i e_i - \dot{\alpha}_{i-1} \\ \dot{z}_n = u(v) + l_n e_n - \dot{\alpha}_{n-1}, \quad 2 \leq i \leq n-1 \end{cases} \quad (24)$$

In each step of the backstepping method, a positive definite Lyapunov function is first constructed based on the transformed error variables. Subsequently, a virtual controller and an adaptive law are designed to guarantee the convergence of the Lyapunov function. In this process, a FLS is introduced to approximate the unknown nonlinear functions, and the adaptive estimation technique is utilized to estimate the norm of the ideal weight vector, so as to deal with the uncertain nonlinear dynamics.

**Step 1:** Choose the Lyapunov function as

$$V_1 = e^T P e + \frac{1}{2} \zeta^2 + \frac{1}{2g_1} \tilde{\theta}_1^2, \quad (25)$$

where  $g_1 > 0$  and  $\tilde{\theta}_1 = \theta_1 - \hat{\theta}_1$  with  $\hat{\theta}_1$  being the estimated value of  $\theta_1$  defined in (33) later.

Differentiating  $V_1$ , one has

$$\begin{aligned} \dot{V}_1 = & -e^T Q e + 2e^T P F + \zeta \mu (z_2 + \alpha_1 + e_2 + f_1 - \dot{y}_d - \phi) \\ & - \frac{1}{g_1} \tilde{\theta}_1 \dot{\hat{\theta}}_1 \end{aligned} \quad (26)$$

Due to  $F(x) = [f_1(\bar{x}_1), \dots, f_n(\bar{x}_n)]^T$ , and  $f_i(\bar{x}_i)$  is unknown, by utilizing Lemma 1, one obtains

$$f_i(X_0) = W_{i0}^T \kappa_0(X_0) + \delta_{i0}(X_0), \quad \|\delta_{i0}(X_0)\| \leq \varepsilon_{i0}, \quad (27)$$

where  $X_0 = \bar{x}_1$ . Therefore, one gets

$$F(X_0) = W_0^T \kappa_0(X_0) + \delta_0(X_0), \quad \|\delta_0(X_0)\| \leq \varepsilon_0, \quad (28)$$

where  $W_0 = [W_{10}, \dots, W_{n0}]^T$ ,  $\delta_0(X_0) = [\delta_{10}(X_0), \dots, \delta_{n0}(X_0)]^T$ ,  $\varepsilon_0 = \sqrt{\varepsilon_{10}^2 + \dots + \varepsilon_{n0}^2}$ . Thus, according to Lemma 2, one has

$$\begin{aligned} 2e^T P F = & 2e^T P (W_0^T \kappa_0(X_0) + \delta_0(X_0)) \\ \leq & \|e\|^2 + \|P\|^2 \theta + \|P\|^2 \varepsilon_0^2 \end{aligned} \quad (29)$$

where  $\theta = \|W_0\|^2$ .

According to fundamental inequality  $ab \leq \frac{a^2}{2} + \frac{b^2}{2}$ , one gets

$$\zeta \mu e_2 \leq \frac{1}{2} (\zeta \mu)^2 + \frac{1}{2} \|e\|^2. \quad (30)$$

Substituting (29) and (30) into (26), it can be obtained that

$$\begin{aligned} \dot{V}_1 \leq & -\Pi \|e\|^2 + \zeta (\mu_1 + \mu (z_2 + \alpha_1)) + \|P\|^2 \theta \\ & + \|P\|^2 \varepsilon_0^2 - \frac{1}{g_1} \tilde{\theta}_1 \dot{\hat{\theta}}_1 \end{aligned}, \quad (31)$$

$$\text{where } \Pi = -\left[ \lambda_{\min}(Q) - \frac{3}{2} \right], \quad \mu_1 = \mu \left( \frac{1}{2} \zeta \psi + f_1 - \dot{y}_d - \phi \right).$$

Since  $\mu_1$  is uncertain, based on Lemma 1, there is a FLS  $W_1^T \kappa_1$  such that

$$\mu_1 = W_1^T \kappa_1 + \delta_1, \quad \|\delta_1\| \leq \varepsilon_1, \quad (32)$$

where  $\delta_1$  denotes approximation error,  $\varepsilon_1$  denotes approximation accuracy. According to Lemma 2 and taking  $x=1$ ,  $y = \zeta W_1^T \kappa_1$ ,  $\rho_1 = 1$ ,  $\rho_2 = 1$ , and  $\rho_3 = a_1^2$  into account, one has

$$\begin{aligned} \zeta \mu_1 = & \zeta W_1^T \kappa_1 + \zeta \delta_1 \\ \leq & \frac{1}{2a_1^2} \zeta^2 \theta_1 \kappa_1^T \kappa_1 + \frac{a_1^2}{2} + \frac{\zeta^2}{2} + \frac{1}{2} \varepsilon_1^2, \end{aligned} \quad (33)$$

where  $\theta_1 = \|W_1\|^2$  and  $a_1 > 0$ .

The virtual control signal  $\alpha_1$  and adaptive law  $\dot{\hat{\theta}}_1$  are constructed as

$$\alpha_1 = -\frac{1}{\mu} \left( \frac{1}{2a_1^2} \zeta \hat{\theta}_1 \kappa_1^T \kappa_1 + \frac{\zeta}{2} + \psi_1 \zeta \right), \quad (34)$$

$$\dot{\hat{\theta}}_1 = \frac{g_1}{2a_1^2} \zeta^2 \kappa_1^T \kappa_1 - \mathfrak{A}_1 \hat{\theta}_1, \quad (35)$$

where  $\psi_1, \mathfrak{A}_1 > 0$ .

Substituting (33)-(35) into (31), one obtains

$$\dot{V}_1 \leq -\Pi \|e\|^2 + \Delta_1 + \mu \zeta z_2 - \psi_1 \zeta^2 + \frac{\mathfrak{A}_1}{g_1} \tilde{\theta}_1 \dot{\hat{\theta}}_1, \quad (36)$$

where  $\Delta_1 = \|P\|^2 \theta + \|P\|^2 \varepsilon_0^2 + \frac{a_1^2}{2} + \frac{1}{2} \varepsilon_1^2$ .

**Step j** ( $2 \leq j \leq n-1$ ): Choose the Lyapunov function as

$$V_j = V_{j-1} + \frac{1}{2} z_j^2 + \frac{1}{2g_j} \tilde{\theta}_j^2, \quad (37)$$

where  $g_j > 0$  and  $\tilde{\theta}_j = \theta_j - \hat{\theta}_j$  with  $\hat{\theta}_j$  being the estimated value of  $\theta_j$  defined in (40) later.

Differentiating  $V_j$ , one gets

$$\begin{aligned} \dot{V}_j \leq & -\Pi \|e\|^2 + z_j (\mu_j + z_{j+1} + \alpha_j) - \sum_{i=2}^{j-1} \psi_i z_i^2 \\ & + \sum_{i=2}^{j-1} \left( \frac{a_i^2}{2} + \frac{\varepsilon_i^2}{2} \right) + \Delta_{j-1} - \psi_1 \zeta^2 + \sum_{i=1}^{j-1} \frac{\mathcal{G}_i}{g_i} \tilde{\theta}_i \hat{\theta}_i, \\ & - \frac{1}{g_j} \tilde{\theta}_j \dot{\hat{\theta}}_j, \end{aligned} \quad (38)$$

where  $\mu_j = z_{j-1} + l_j e_1 - \dot{\alpha}_{j-1}$ . Since  $\mu_j$  is uncertain, based on Lemma 1, one gets

$$\mu_j = W_j^T \kappa_j + \delta_j, \quad \|\delta_j\| \leq \varepsilon_j, \quad (39)$$

where  $\delta_j$  denotes approximation error,  $\varepsilon_j$  denotes approximation accuracy. According to Lemma 2 and taking  $x=1$ ,  $y = z_j W_j^T \kappa_j$ ,  $\rho_1 = 1$ ,  $\rho_2 = 1$ , and  $\rho_3 = a_j^2$  into account, one has

$$\begin{aligned} z_j \mu_j &= z_j W_j^T \kappa_j + z_j \delta_j \\ &\leq \frac{1}{2a_j^2} z_j^2 \theta_j \kappa_j^T \kappa_j + \frac{a_j^2}{2} + \frac{z_j^2}{2} + \frac{1}{2} \varepsilon_j^2, \end{aligned} \quad (40)$$

where  $\theta_j = \|W_j\|^2$  and  $a_j > 0$ .

The virtual control signal  $\alpha_j$  and adaptive law  $\dot{\hat{\theta}}_j$  are constructed as

$$\alpha_j = - \left( \frac{1}{2a_j^2} z_j \hat{\theta}_j \kappa_j^T \kappa_j + \frac{z_j}{2} + \psi_j z_j \right), \quad (41)$$

$$\dot{\hat{\theta}}_j = \frac{\mathcal{G}_j}{2a_j^2} z_j^2 \kappa_j^T \kappa_j - \mathcal{G}_j \hat{\theta}_j, \quad (42)$$

where  $\psi_j$ ,  $\mathcal{G}_j > 0$ .

Substituting (40)-(42) into (38), we have

$$\dot{V}_j \leq -\Pi \|e\|^2 + z_j z_{j+1} + \Delta_j - \psi_1 \zeta^2 - \sum_{i=2}^j \psi_i z_i^2 + \sum_{i=1}^j \frac{\mathcal{G}_i}{g_i} \tilde{\theta}_i \hat{\theta}_i, \quad (43)$$

where  $\Delta_j = \Delta_{j-1} + \frac{a_j^2}{2} + \frac{1}{2} \varepsilon_j^2$ .

**Step  $n$ :** Choose the Lyapunov function as

$$V_n = V_{n-1} + \frac{1}{2} z_n^2 + \frac{\overline{\omega}_0}{2g_n} \tilde{\theta}_n^2, \quad (44)$$

where  $g_n > 0$  and  $\tilde{\theta}_n = \theta_n - \hat{\theta}_n$  with  $\hat{\theta}_n$  being the estimated value of  $\theta_n$  defined in (49) later.

Differentiating  $V_n$ , one gets

$$\begin{aligned} \dot{V}_n \leq & -\Pi \|e\|^2 + z_n (z_{n-1} + \sigma(v) + \overline{\omega}_{v_r} v + l_n e_1 - \dot{\alpha}_{n-1}) - \\ & \sum_{i=2}^{n-1} \psi_i z_i^2 + \Delta_{n-1} - \psi_1 \zeta^2 + \sum_{i=1}^{n-1} \frac{\mathcal{G}_i}{g_i} \tilde{\theta}_i \hat{\theta}_i - \frac{\overline{\omega}_0}{g_n} \tilde{\theta}_n \dot{\hat{\theta}}_n. \end{aligned} \quad (45)$$

Based on (7) and the fundamental inequality  $ab \leq \frac{a^2}{2} + \frac{b^2}{2}$ , one has

$$z_n \sigma(v) \leq \frac{1}{2} z_n^2 + \frac{1}{2} \overline{\sigma}^2. \quad (46)$$

Substituting (46) into (45), one gets

$$\begin{aligned} \dot{V}_n \leq & -\Pi \|e\|^2 + z_n (\mu_n + \overline{\omega}_{v_r} v) - \sum_{i=2}^{n-1} \psi_i z_i^2 + \Delta_{n-1} \\ & - \psi_1 \zeta^2 + \sum_{i=1}^{n-1} \frac{\mathcal{G}_i}{g_i} \tilde{\theta}_i \hat{\theta}_i - \frac{\overline{\omega}_0}{g_n} \tilde{\theta}_n \dot{\hat{\theta}}_n + \frac{1}{2} \overline{\sigma}^2, \end{aligned} \quad (47)$$

where  $\mu_n = z_{n-1} + l_n e_1 - \dot{\alpha}_{n-1} + \frac{1}{2} z_n$ . Since  $\mu_n$  is uncertain, based on Lemma 1, there is a FLS  $W_n^T \kappa_n$  such that

$$\mu_n = W_n^T \kappa_n + \delta_n, \quad \|\delta_n\| \leq \varepsilon_n, \quad (48)$$

where  $\delta_n$  denotes approximation error,  $\varepsilon_n$  denotes approximation accuracy. According to Lemma 2 and taking  $x=1$ ,  $y = z_n W_n^T \kappa_n$ ,  $\rho_1 = 1$ ,  $\rho_2 = 1$ , and  $\rho_3 = a_n^2$  into account, one has

$$\begin{aligned} z_n \mu_n &= z_n W_n^T \kappa_n + z_n \delta_n \\ &\leq \frac{\overline{\omega}_0}{2a_n^2} z_n^2 \theta_n \kappa_n^T \kappa_n + \frac{a_n^2}{2\overline{\omega}_0} + \frac{\overline{\omega}_0 z_n^2}{2} + \frac{1}{2\overline{\omega}_0} \varepsilon_n^2, \end{aligned} \quad (49)$$

where  $\theta_n = \|W_n\|^2$  and  $a_n > 0$ .

The actual control signal  $v$  and adaptive law  $\dot{\hat{\theta}}_n$  are constructed as

$$v = - \left( \frac{1}{2a_n^2} z_n \hat{\theta}_n \kappa_n^T \kappa_n + \frac{z_n}{2} + \psi_n z_n \right), \quad (50)$$

$$\dot{\hat{\theta}}_n = \frac{\mathcal{G}_n}{2a_n^2} z_n^2 \kappa_n^T \kappa_n - \mathcal{G}_n \hat{\theta}_n, \quad (51)$$

where  $\psi_n$ ,  $\mathcal{G}_n > 0$ .

According to (10) and (50), one gets

$$z_n \overline{\omega}_{v_r} v \leq - \left( \frac{\overline{\omega}_0}{2a_n^2} z_n^2 \hat{\theta}_n \kappa_n^T \kappa_n + \frac{\overline{\omega}_0 z_n^2}{2} + \beta_n \overline{\omega}_0 z_n^2 \right). \quad (52)$$

Substituting (49)-(52) into (47), one gets

$$\begin{aligned} \dot{V}_n \leq & -\Pi \|e\|^2 + \Delta_n - \psi_1 \zeta^2 - \sum_{i=2}^{n-1} \psi_i z_i^2 + \\ & \sum_{i=1}^{n-1} \frac{\mathcal{G}_i}{g_i} \tilde{\theta}_i \hat{\theta}_i - \psi_n \overline{\omega}_0 z_n^2 + \frac{\overline{\omega}_0 \mathcal{G}_n}{g_n} \tilde{\theta}_n \hat{\theta}_n, \end{aligned} \quad (53)$$

where  $\Delta_n = \Delta_{n-1} + \frac{a_n^2}{2\omega_0} + \frac{\varepsilon_n^2}{2\omega_0} + \frac{1}{2}\bar{\sigma}^2$ .

The design procedure of the controller is demonstrated from the flow chart of the control system illustrated in Fig. 1. It can be found that the control performance of the system is dependent on many design parameters. In the ‘‘State observer’’ block, the observer parameter  $l_i$  should be selected such that

the matrix  $A_0$  in (20) is strict Hurwitz, thereby guaranteeing the ideal observer accuracy. In the ‘‘Virtual controller’’ and ‘‘Actual controller’’ blocks, the values of  $\psi_i$  should be chosen larger and  $a_i$  should be chosen smaller, so as to increase the tracking speed and reduce the steady-state error. In the ‘‘Adaptive law’’ block, the values of  $g_i$  and  $\vartheta_i$  should be chosen larger to improve the parameter update rate.

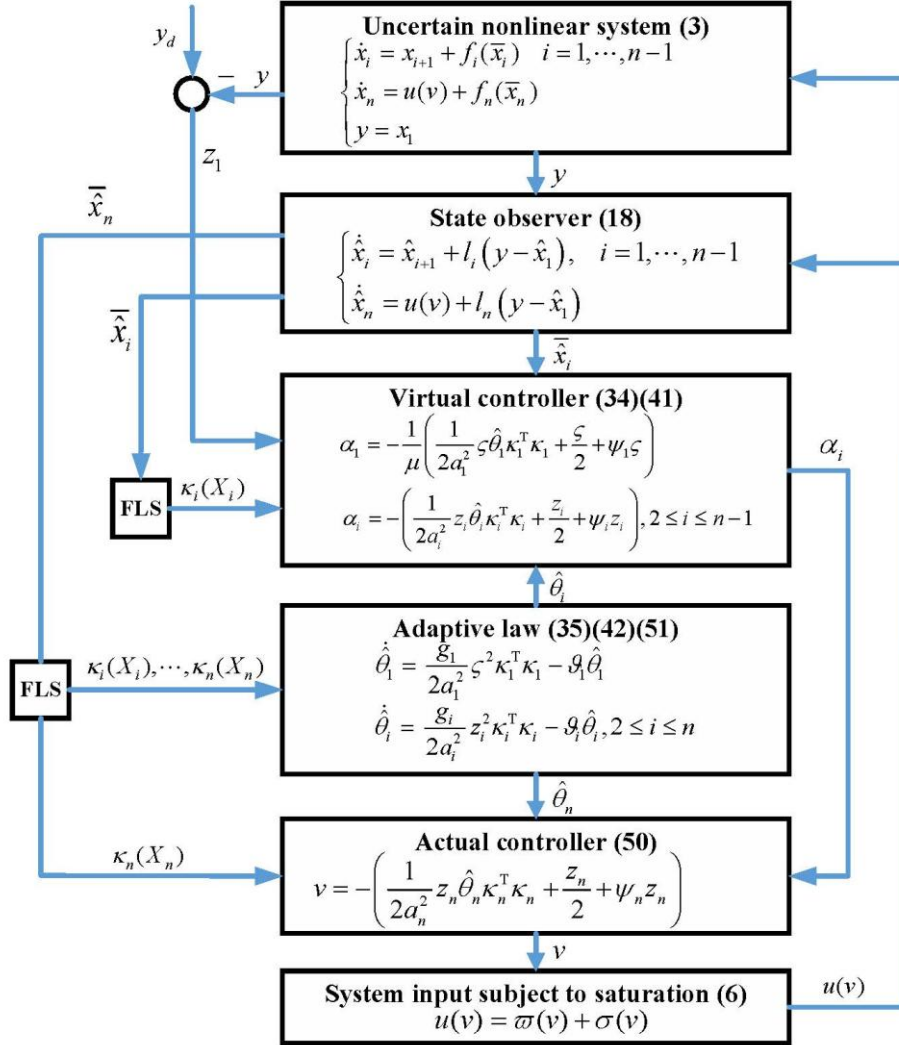


Fig. 1. Block diagram of control system.

#### 4. STABILITY ANALYSIS

**Theorem 1:** For system (3) which fulfills Assumption 1, by combining fuzzy approximate technology with adaptive estimation algorithm, the designed actual control signal (50) can realize that all signals are bounded and tracking error converges to preassigned range in a finite time.

**Proof:** Based on  $\tilde{\theta}_i = \theta_i - \hat{\theta}_i$  and the fundamental inequality

$$ab \leq \frac{a^2}{2} + \frac{b^2}{2}, \text{ it is inferred that}$$

$$\frac{g_i}{g_i} \tilde{\theta}_i \hat{\theta}_i \leq -\frac{g_i}{2g_i} \tilde{\theta}_i^2 + \frac{g_i}{2g_i} \theta_i^2. \quad (54)$$

Substituting (54) into (53), one has

$$\begin{aligned} \dot{V}_n &\leq -\tau e^T P e + \Delta_n - \psi_1 \zeta^2 - \sum_{i=2}^{n-1} \psi_i z_i^2 + \sum_{i=1}^{n-1} \frac{g_i}{2g_i} \theta_i^2 \\ &\quad - \psi_n \omega_0 z_n^2 + \frac{\omega_0 g_n}{2g_n} \theta_n^2 - \sum_{i=1}^{n-1} \frac{g_i}{2g_i} \tilde{\theta}_i^2 - \frac{\omega_0 g_n}{2g_n} \tilde{\theta}_n^2 \\ &\leq -\bar{\gamma}_1 (e^T P e + \frac{1}{2} \zeta^2 + \sum_{i=2}^{n-1} \frac{1}{2} z_i^2 + \sum_{i=1}^{n-1} \frac{1}{2g_i} \tilde{\theta}_i^2) + \dots, \quad (55) \\ &\quad \frac{\omega_0}{2g_n} \tilde{\theta}_n^2 + \sum_{i=1}^{n-1} \frac{g_i}{2g_i} \theta_i^2 + \frac{\omega_0 g_n}{2g_n} \theta_n^2 + \Delta_n \\ &\leq -\bar{\gamma}_1 V_n + \bar{\gamma}_2 \end{aligned}$$

where  $\tau = \frac{\Pi}{\lambda_{\max}(P)}$ ,  $\bar{\gamma}_1 = \min\{\tau, 2\psi_1, \dots, 2\psi_{n-1}, 2\psi_n\bar{\omega}_0, \mathcal{G}_1, \dots, \mathcal{G}_n\}$ , and  $\bar{\gamma}_2 = \Delta_n + \sum_{i=1}^{n-1} \frac{\mathcal{G}_i}{2g_i} \theta_i^2 + \frac{\bar{\omega}_0 \mathcal{G}_n}{2g_n} \theta_n^2$ .

According to Lemma 3, it can be known that the solution of system (3) is ultimately uniformly bounded. By integrating both sides of the inequality (55) on  $[0, t]$ , one gets

$$V_n \leq \frac{\bar{\gamma}_2}{\bar{\gamma}_1} (1 - e^{-\bar{\gamma}_1 t}) + V_n(0) e^{-\bar{\gamma}_1 t} \leq \frac{\bar{\gamma}_2}{\bar{\gamma}_1} + V_n(0) e^{-\bar{\gamma}_1 t}. \quad (56)$$

Then, it is inferred that all signals in the system (3) are bounded. Furthermore, tracking error always keeps in the region  $(-F_p(t), F_p(t))$ , which means that tracking error converges into preassigned range in a finite time.

### 5. SIMULATION EXAMPLES

**Example 1:** Consider the following uncertain system under saturation nonlinearity, which fulfills Assumption 1.

$$\begin{cases} \dot{x}_1 = x_2 + x_1 + \sin(2.2x_1^2) + 1 \\ \dot{x}_2 = u(v) + \cos(x_2^2) + x_1^2 \\ y = x_1 \end{cases} \quad (57)$$

The saturation bound in (4) is chosen as  $u_M = 13$ , and reference signal is  $y_d = 0.55 \sin(0.533(t - 0.43\pi))$ .

The observer, virtual control signals, adaptive laws, and actual control signal are selected as

$$\begin{cases} \dot{\hat{x}}_1 = \hat{x}_2 + l_1 (y - \hat{x}_1), \\ \dot{\hat{x}}_2 = u(v) + l_2 (y - \hat{x}_1), \end{cases} \quad (58)$$

$$\alpha_1 = -\frac{1}{\mu} \left( \frac{1}{2a_1^2} \zeta \hat{\theta}_1 \kappa_1^T \kappa_1 + \frac{\zeta}{2} + \psi_1 \zeta \right), \quad (59)$$

$$\dot{\hat{\theta}}_1 = \frac{g_1}{2a_1^2} \zeta^2 \kappa_1^T \kappa_1 - \mathcal{G}_1 \hat{\theta}_1, \quad (60)$$

$$\dot{\hat{\theta}}_2 = \frac{g_2}{2a_2^2} z_2^2 \kappa_2^T \kappa_2 - \mathcal{G}_2 \hat{\theta}_2, \quad (61)$$

$$v = -\left( \frac{1}{2a_2^2} z_2 \hat{\theta}_2 \kappa_2^T \kappa_2 + \frac{z_2}{2} + \psi_2 z_2 \right), \quad (62)$$

where  $l_1 = 100.1$ ,  $l_2 = 100.6$ ,  $a_1 = 0.05$ ,  $a_2 = 0.6$ ,  $\psi_1 = 30$ ,  $\psi_2 = 60$ ,  $g_1 = 20$ ,  $g_2 = 30$ ,  $\mathcal{G}_1 = 0.3$ ,  $\mathcal{G}_2 = 33$ . The initial values are selected as  $x_1(0) = -0.5$ ,  $x_2(0) = -0.2$ ,  $\hat{\theta}_1(0) = 0$ ,  $\hat{\theta}_2(0) = 0$ ,  $\hat{x}_1(0) = 0$ ,  $\hat{x}_2(0) = 0$ . The parameters of FTPF in (2) are selected as  $\hat{h}_0 = 2.5$ ,  $\hat{h}_{T_c} = 0.17$ ,  $T_c = 5$ ,  $F_p(0) = \hat{h}_0 + \hat{h}_{T_c} = 2.67$ . The fuzzy membership is defined as following:

$$d_{F_1}(\hat{x}_1) = \exp\frac{-(\hat{x}_1 - 3 + l)^2}{16}, \quad l = 1, \dots, 5.$$

$$d_{F_2}(\hat{x}_1, \hat{x}_2) = \exp\frac{-(\hat{x}_1 - 3 + l)^2}{16} \times \exp\frac{-(\hat{x}_2 - 3 + l)^2}{16}, \quad l = 1, \dots, 5.$$

For  $\hat{x}_1$  and  $\hat{x}_2$ , fuzzy sets are defined over the interval  $[-2, 2]$ , and  $-2, -1, 0, 1, 2$  are the partitioning points.

The simulation results are demonstrated in Figs. 2-6. Fig. 2 demonstrates the responses of system state  $x_1$  and its estimated value  $\hat{x}_1$ , which indicates that the devised observer accurately estimates  $x_1$ . Figs. 3 and 4 demonstrate the system input  $u$  and control signal  $v$ , respectively. Fig. 5 demonstrates the tracking reference signal  $y_d$  and system output signal  $y$ . Fig. 6 demonstrates tracking error  $z_1$  and preassigned constraints  $\pm F_p$ . It can be seen that the parameters  $T_c$  and  $\hat{h}_{T_c}$  of FTPF in (2) can be adjusted to preassign the desired stability time and steady-state accuracy, respectively. Based on these results, it can be known that the developed control method is valid.

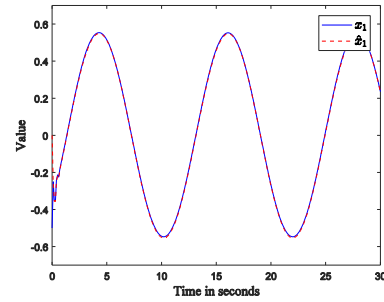


Fig. 2. The responses of  $x_1$  and  $\hat{x}_1$

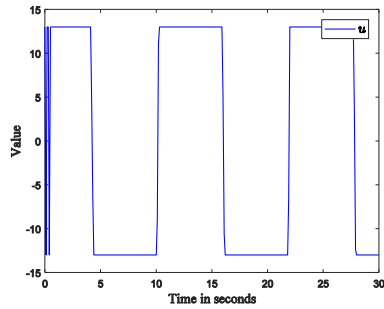


Fig. 3. The response of  $u$ .

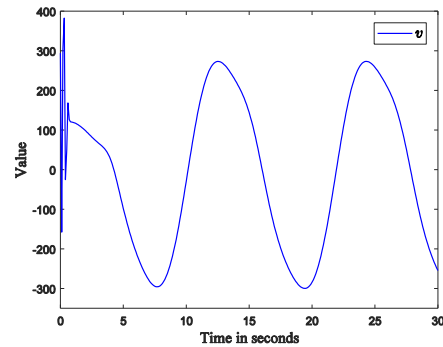


Fig. 4. The response of  $v$ .

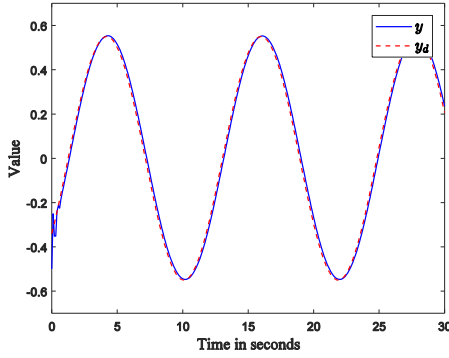


Fig. 5. The responses of  $y$  and  $y_d$ .

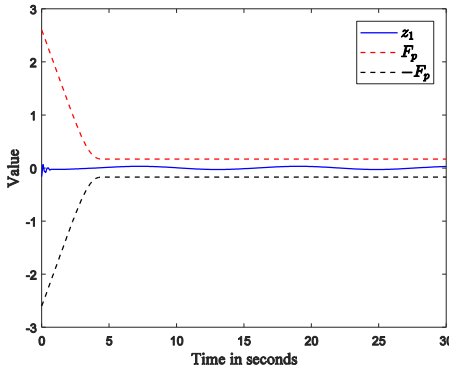


Fig. 6. The responses of  $z_1$  and  $\pm F_p$ .

**Example 2:** The single-joint robot system (Tao et al., 2024) under saturation nonlinearity, which is illustrated in Fig. 7 and fulfills Assumption 1, is described as follows.

$$\begin{cases} \dot{x}_1 = x_2 \\ \dot{x}_2 = -\frac{mgl}{2G} \sin(x_1) + \frac{1}{G} u(v) \\ y = x_1 \end{cases} \quad (63)$$

where  $x_1$  represents the angle of joint  $\theta$ ,  $x_2$  represents the angular speed of joint  $\dot{\theta}$ , the acceleration of gravity is  $g = 9.8\text{m/s}^2$ ,  $m = 1\text{kg}$  represents the mass of joint,  $l = 1\text{m}$  represents the extent of joint,  $G = 3\text{kg m}^2$  represents damping coefficient,  $y$  represents system output,  $v$  represents the control torque of joint and  $u$  represents system input under saturation nonlinearity.

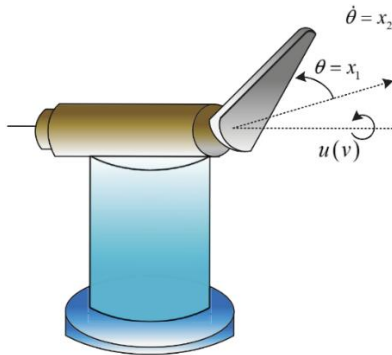


Fig. 7. A single-joint robot arm.

The reference signal is  $y_d = 0.7 \sin(2\pi \bar{f}t)$  with  $\bar{f} = 0.08\text{Hz}$ . The design parameters are chosen as  $l_1 = 100.1$ ,  $l_2 = 100.6$ ,  $a_1 = 0.05$ ,  $a_2 = 0.6$ ,  $\psi_1 = 30$ ,  $\psi_2 = 60$ ,  $g_1 = 20$ ,  $g_2 = 30$ ,  $\mathcal{G}_1 = 0.3$ ,  $\mathcal{G}_2 = 33$ . The initial values are selected as  $x_1(0) = 0.3\text{rad}$ ,  $x_2(0) = 0.1\text{rad/s}$ ,  $\hat{\theta}_1(0) = 0$ ,  $\hat{\theta}_2(0) = 0$ ,  $\hat{x}_1(0) = 0\text{rad}$ ,  $\hat{x}_2(0) = 0\text{rad/s}$ . The parameters of FTPF in (2) are selected as  $\hat{h}_0 = 2.5$ ,  $\hat{h}_{T_c} = 0.17$ ,  $T_c = 5$ ,  $F_p(0) = \hat{h}_0 + \hat{h}_{T_c} = 2.67$ .

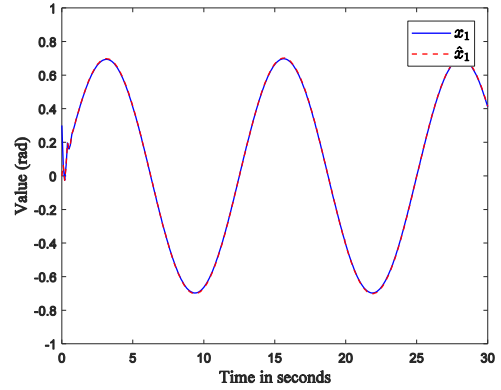


Fig. 8. The responses of  $x_1$  and  $\hat{x}_1$  of robot arm.

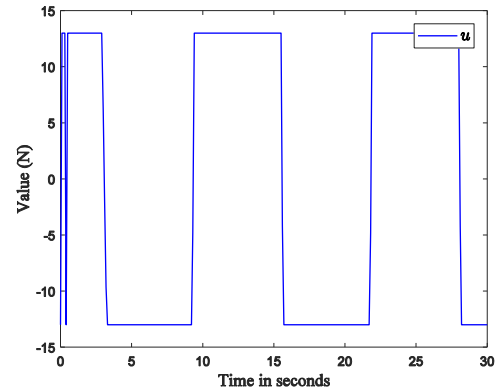


Fig. 9. The response of  $u$  of robot arm.

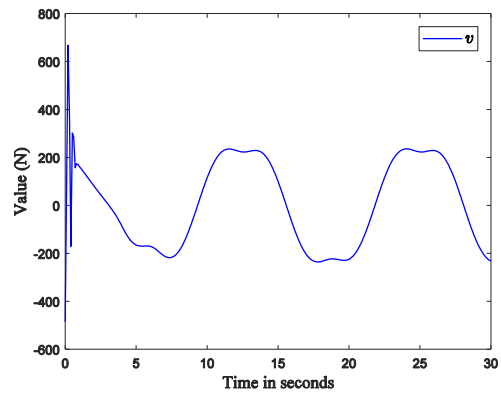


Fig. 10. The response of  $v$  of robot arm.

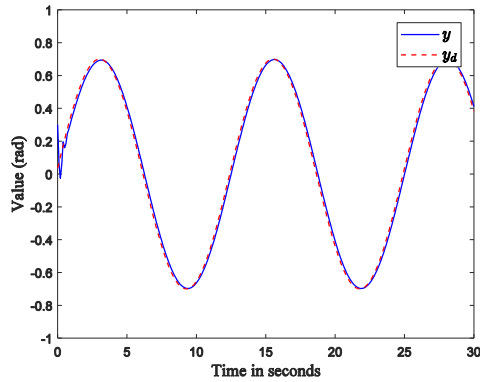


Fig. 11. The responses of  $y$  and  $y_d$  of robot arm.

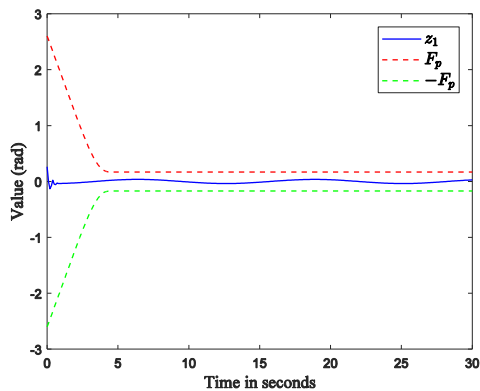


Fig. 12. The responses of  $z_1$  and  $\pm F_p$  of robot arm.

The simulation results of single-joint robot arm are demonstrated in Figs. 8-12. Fig. 8 demonstrates that the devised observer can estimate the unknown state accurately. Figs. 9 and 10 demonstrate the system input  $u$  and control torque  $v$ , respectively. Fig. 11 demonstrates the tracking reference signal  $y_d$  and system output signal  $y$ . Fig. 12 demonstrates that tracking error  $z_1$  can converge into the preassigned constraints region  $\pm F_p$ .

**Remark 1:** The proposed control scheme offers several advantages that could be beneficial in practical applications. Firstly, it is computationally efficient, involving ordinary differential equations and inequalities that can be readily solved using standard numerical tools, making it easy to implement in actual systems. Secondly, the control strategy is designed to be robust and adaptable, with the capability to handle uncertainties and input saturation, which are common challenges in various industrial settings. Finally, the ability to achieve finite-time convergence of the tracking error within a prescribed region is particularly beneficial for applications requiring high precision and fast response, such as robotic manipulators and aerospace systems. These features collectively enhance the feasibility and applicability of the proposed approach, giving it potential application value for extension to practical scenarios.

## 6. CONCLUSIONS

In this paper, the problem of FTTPC for uncertain systems with unmeasurable states and input saturation is addressed. An enhanced FTTPF is designed to achieve that tracking error

converges into desired zone within finite-time. The proposed method is applicable to the process that can be modeled by (3). Future work will focus on extending this method to more complex systems and exploring its potential in real-world scenarios.

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